

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/539248  
JC17 Rec'd PCT/PTO 16 JUN 2005

In re patent application of

Gundula Roth, et al.

Serial No.: Not Yet Assigned Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith Examiner: Unknown

For: PHOSPHOR AND OPTICAL DEVICE USING THE SAME

Honorable Commissioner of Patents  
Alexandria, Virginia 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449 and cited in the International Search Report (Form PCT/ISA/210), to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. Further, in compliance with the concise explanation requirement under 37 CFR §1.98(a)(3), for foreign documents English-language Abstracts are attached.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,



Sean M. McGinn, Esq.  
Registration No. 34,386

Date: 6/16/05  
McGinn & Gibb, PLLC  
Intellectual Property Law  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
(703) 761-4100  
Customer No. 21254

# **INFORMATION DISCLOSURE CITATION** (Use several sheets if necessary)

ATTY DOCKET NO. **107539248** APPLICATION NO. **107539248**  
**PTC 107539248** **Not Yet Assigned**  
 APPLICANT(S) **Gundula Roth, et al.**  
 FILING DATE **Concurrently Herewith** GROUP ART UNIT **Not Yet Assigned**

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## **U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 2002/0105266 A1	08/08/2002	Juestel et al.			
	US 2002/0063301 A1	05/30/2002	Hanamoto et al.			

## **FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	2001-308393	11/02/2001	Japan			ABS	
	2002-118292	04/19/2002	Japan			ABS	
	WO 01/89001 A2	11/22/2001	PCT (WIPO)			✓	
	31-1118	1957	Japan				✓
	63-101476	05/06/1988	Japan			ABS	

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.